DECLARATION FOR UTILITY PATENT APPLICATION

Attorney Docket No. :

210121.478C11

First Named Inventor:

Tongtong Wang

JAN 2 3 2001

As a below-named inventor, I hereby declarent

My residence, post office address, and citizenship are as stated below under my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/651,563, as filed in the United States Patent and Trademark Office on August 29, 2000, ("this application") for which a patent is sought. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under 35 U.S.C. § 365(c) of any PCT international application(s) designating the United States of America, as listed below ("the parent applications") and insofar as the subject matter of each of the claims of this application is not disclosed in at least one of the below-listed United States or PCT International parent applications in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R § 1.56, including material information which became available between the filing date of any of the below-listed parent applications and the national or PCT international filing date of this (C-I-P) application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	, , , , , , , , , , , , , , , , , , ,	
09/651,563	08/29/2000	210121.478C1	
09/614,124	07/11/2000		210121.478C9
09/589,184	06/5/2000	210121.478C8	
09/560,406	04/27/2000		210121.478C7
09/546,259	4/10/2000	210121.4780	
09/533,077	3/22/2000	210121.4780	
09/519,642	3/6/2000	210121.4780	
09/476,300	12/30/1999		210121.478C3
09/466,867	12/17/1999	210121.478C	
09/419,356	10/15/1999	210121.4780	
09/346,492	6/30/1999		210121.478
PCT/US00/18061	6/30/2000	210121.478PC	

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Tongtong Wang

Date ____ Residence

City of Medina, County of King

State of Washington

Citizenship

United States of America

P.O. Address

8049 NE 28th Street

Medina, WA 98039

Chaitanya S. Bangur 2000

Date

Residence

City of Seattle, County of King State of Washington

India

Citizenship

2102 North 105th Street, Apt. J101 P.O. Address

Seattle, WA 98133

Michael J. Lodes

Date

City of Seattle, County of King Residence

State of Washington

United States of America Citizenship

9223 - 36th Avenue SW P.O. Address

Seattle, WA 98126

Gary R. Fan

Date

City of Mill Creek, County of Snohomish Residence

State of Washington

United States of America Citizenship

15906 - 29th Drive SE P.O. Address Mill Creek, WA 98012

Thomas S. Vedvick

City of Federal Way, County of King Residence

State of Washington

United States of America Citizenship

124 South 300th Place P.O. Address

Federal Way, WA 98003

The Can

Darrick Carter

Date /0/3//00

Residence : City of Seattle, County of King

State of Washington

Citizenship : United States of America

P.O. Address : 321 Summit Avenue East

Seattle, WA 98102

Marc W Retter

Date (16 0)

Residence : City of Carnation, County of King

State of Washington

Citizenship : United States of America

P.O. Address : 33402 NE 43rd Place Carnation, WA 98014

Jane Mannion

Residence : City of Edmonds, County of Snohomish

State of Washington

Citizenship : United States of America

P.O. Address : 8904 – 192nd Street SW

Edmonds, WA 98026

Liqun Fan

Date 11/07/00

Residence : City of Bellevue, County of King

State of Washington

Citizenship : United States of America

P.O. Address : 14116 SE 46th St.

Bellevue, WA 98006

L:\210121 - Corixa\478c11\Forms\478C11-Declaration.doc





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Tongtong Wang, Chaitanya S. Bangur, Michael J. Lodes,

Gary R. Fanger, Thomas S. Vedvick, Darrick Carter, Marc W. Retter,

Jane Mannion, and Liqun Fan

Application No.

09/658,824

Filed

September 8, 2000

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Docket No. :

210121.478C11

Box Missing Parts Assistant Commissioner for Patents Washington, DC 20231

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints RICHARD W. SEED, Reg. No. 16,557; ROBERT J. BAYNHAM, Reg. No. 22,846; GEORGE C. RONDEAU, JR., Reg. No. 28,893; DAVID H. DEITS, Reg. No. 28,066; WILLIAM O. FERRON, JR., Reg. No. 30,633; DAVID J. MAKI, Reg. No. 31,392; RICHARD G. SHARKEY, Reg. No. 32,629; DAVID V. CARLSON, Reg. No. 31,153; KARL R. HERMANNS, Reg. No. 33,507; DAVID D. MCMASTERS, Reg. No. 33,963; MICHAEL J. DONOHUE, Reg. No. 35,859; JANE E. R. POTTER, Reg. No. 33,332; ROBERT IANNUCCI, Reg. No. 33,514, LORRAINE LINFORD, Reg. No. 35,939; DAVID W. PARKER, Reg. No. 37,414; E. RUSSELL TARLETON, Reg. No. 31,800; ELLEN M. BIERMAN, Reg. No. 38,079; BRIAN G. BODINE, Reg. No. 40,520; BRYAN C. OGDEN, Reg. No. 25,362; ANN T. KADLECEK, Reg. No. 39,244; KEVIN S. COSTANZA, Reg. No. 37,801; THOMAS E. LOOP, Reg. No. 42,810; STEPHEN J. ROSENMAN, Reg. No. 43,058; BRIAN L. JOHNSON, Reg. No. 40,033; SUSAN D. BETCHER, Reg. No. 43,498; WILLIAM T. CHRISTIANSEN, Reg. No. 44,614, GARY M. MYLES, Reg. No. 46,209; ERIC J. GASH, Reg. No. 46,274; and JEFFREY C. PEPE, Reg. No. P-46,985; comprising the firm of Seed Intellectual Property Law Group PLLC, 701 Fifth Avenue, Suite 6300, Seattle, Washington

98104-7092, and CYNTHIA L. SHUMATE, Reg. No. 38,303; KATHLEEN NORA MCKEREGHAN, Reg. No. 41,985; and JEFF E. HUNDLEY, Reg. No. 42,676 as its attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to **Jane E. R. Potter** at (206) 622-4900 and telecopies to (206) 682-6031.

Please direct all communications to:

Jane E. R. Potter, Esq.
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092

Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to CORIXA CORPORATION filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Corixa Corporation ASSIGNEE

DATE

Kathleen Nora McKereghan

Vice President, General Counsel and Secretary

Enclosure:

Copy of Assignment

L:\210121 - Corixa\478c11\Forms\478C11-Election-POA.doc

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Tongtong Wang	8049 Northeast 28th Street, Medina, Washington 98039	;
Chaitanya S. Bangur	2102 North 105th Street, Apt. J101, Seattle, Washington 98133	
Michael J. Lodes	9223 - 36th Avenue Southwest, Seattle, Washington 98126	;
Gary R. Fanger	15906 - 29th Drive Southeast, Mill Creek, Washington 98012	
Thomas S. Vedvick	124 South 300th Place, Federal Way, Washington 98003	
Darrick Carter	321 Summit Avenue East, Seattle, Washington 98102 ;	
Marc W. Retter	33402 Northeast 43rd Place, Carnation, Washington 98014	
Jane Mannion	8904 – 192nd Street Southwest, Edmonds, Washington 98026	
Liqun Fan	14116 Southeast 46th St., Bellevue, Washington 98006 ,	

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/651,563, as filed in the United States Patent and Trademark Office on August 29, 2000, ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Attorney Doc Number Number	
09/651,563	08/29/2000		210121.478C10
09/614,124	07/11/2000		210121.478C9
09/589,184	06/5/2000		210121.478C8
09/560,406	04/27/2000		210121.478C7

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Attorney Docket Number Number	
09/546,259	4/10/2000		210121.478C6
09/533,077	3/22/2000		210121.478C5
09/519,642	3/6/2000		210121.478C4
09/476,300	12/30/1999		210121.478C3
09/466,867	12/17/1999		210121.478C2
09/419,356	10/15/1999		210121.478C1
09/346,492	6/30/1999		210121.478
PCT/US00/18061	6/30/2000		210121.478PC

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us has this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

Patent therefor.	
1,16/00	e Dy Wy
Date	Tongtong Wang
State of Mashington	SS.
County of MINO	

I certify that I know or have satisfactory evidence that Tongtong Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated	Noven	ber	6,	WW	
Signature of Notary Public	Der	rdre		Bry.	<u>an</u>
Printed Name	/	DEIRI	DREI	. BRYA	N
My appointme	ent expires _		9/1/	102	

III III III III III	
11/07/2000 Paitanyangus.	
Date - Chaitanya S. Bangur	
State of Washington	
County of	
I certify that I know or have satisfactory evidence that Chaitanya S. Bangur is the	
person who appeared before me, and said person acknowledged that he signed this instrume	
and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the	ıe
instrument.	
Dated Muncher 6, 2000	
Signature of ()	
Notary Public Yende Jyn	~
Printed NameUEIRDRE I. BRYAN	
My appointment expires	
11-06-7000) 17/notial / Toeles	
Date Michael J. Lodes	
$\left(h \right)_{\alpha} \left(h \right) = 1$	
State of	
County of	
I certify that I know or have satisfactory evidence that Michael J. Lodes is	he
person who appeared before me, and said person acknowledged that he signed this instrument	nt
and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in	he
instrument.	
6) 1 1 1 1 1 1 1 1 1 1	
Dated Deven ber & cot v	
Signature of Notary Public Aller Aller Andrews	_
Printed Name DEIRDRE I. BRYAN	

My appointment expires _____

11/8/00	hall to
Date	Gary R. Fanger
State of Washing	ton
) ss.
County of KIN	
I certify that	I know or have satisfactory evidence that Gary R. Fanger is the
person who appeared before	me, and said person acknowledged that he signed this instrument
and acknowledged it to be hi	is free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated Vonember 8 2000
	Signature of Notary Public Wender Symm
	Printed Name DEIRDRE I. BRYAN
	$a_{l_1 l_2 - 1}$
,	My appointment expires
10/27/2000 Date	Thomas S. Vedvick
Mah	
State of	$(\mathcal{C}, \mathcal{C}, \mathcal{C})$) ss.
County of	<u>/</u>
•	
I certify that	I know or have satisfactory evidence that Thomas S. Vedvick is the
person who appeared before	e me, and said person acknowledged that he signed this instrument
and acknowledged it to be h	nis free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated October 27, 2000
	Signature of Notary Public Neudle O Gyan
	Printed Name DEIRDRE I. BRYAN
	$al. ln \sim$
	My appointment expires

	/ /		
	31/00	There	The second second
Date	~ \	Darrick Carter	
State of Washing	gton,		
County of Kine	7)	SS.	
I certify that I	know or have s	satisfactory evidence th	at Darrick Carter is the person
who appeared before me, ar	nd said person	acknowledged that h	e signed this instrument and
acknowledged it to be his fr	ee and volunt	ary act for the uses an	d purposes mentioned in the
instrument.			
	Dated	October	31, 2000
	Signature of Notary Public	Wendre	· U Bryun
	Printed Name	DEIRD	RE I. BRYAN
	Mr. annaintma	ont ovning	9/./20
	My appointme	ent expires	11/0
Date State of Washing	gton)	Marc W. Retter	Netty
County of	19	SS.	
I certify that person who appeared before and acknowledged it to be hi instrument.	me, and said	person acknowledged (
	Dated	Morent	er 6, 2000
	Signature of Notary Public	Mundi	e J Bryan
	Printed Name	<u> </u>	KUKE I. BKYAN
	My appointme	ent expires	9/1/02

[Page 6 of 7]

11/3/00
Date Jane Mannion
State of Washington,
) ss.
County of King
I certify that I know or have satisfactory evidence that Jane Mannion is the person
who appeared before me, and said person acknowledged that she signed this instrument ar
acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the
instrument.
$\int \int \int d^{3}x d^{3$
Dated Volumber 15 Wood
Signature of Notary Public Vendre V Cryan
Printed Name DEIRDRE I. BRYAN
all
My appointment expires $\frac{9}{100}$
11/02/07) +in 2
Date Liqun Fan
Machinet
State of $10050000000000000000000000000000000000$
County of KIRC
I contife that I become an house entire stands and that I improve that I improve that I
I certify that I know or have satisfactory evidence that Liqun Fan is the person who appeared before me, and said person acknowledged that he signed this instrument and
acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the
instrument.
Dated Wovember 4, 2000
Signature of Notary Public New Notary Public
Printed Name DEIRDRE I. BRYAN
My appointment expires $\frac{9/1/02}{}$
L:\210121 - Corixa\478c10\Forms\478C10-Assignment.doc